



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) Publication number : **0 674 451 A2**

(12)

EUROPEAN PATENT APPLICATION

(21) Application number : **95301371.1**

(51) Int. Cl.⁶ : **H04Q 7/22**

(22) Date of filing : **03.03.95**

(30) Priority : **07.03.94 FI 941072**

(43) Date of publication of application :
27.09.95 Bulletin 95/39

(84) Designated Contracting States :
AT DE FR GB IT NL SE

(71) Applicant : **NOKIA MOBILE PHONES LTD.**
P.O. Box 86
SF-24101 Salo (FI)

(72) Inventor : **Rikkinen, Kari**
Suotie 19 D 23
SF-90650 Oulu (FI)

(74) Representative : **Haws, Helen Louise et al**
Nokia Mobile Phones,
St. George's Court,
St. George's Road
Camberley, Surrey GU15 3QZ (GB)

(54) **A data transmission method, transmitter, and receiver.**

(57) The invention relates to a data transmission method in a cellular communications system comprising in each cell at least one base station communicating with mobile stations within its coverage area, in which method extra known reference information is added to a transmit signal. To enable smooth estimation of a channel, the amount of added reference information per time unit is varied in response to the radio channel parameters. The invention also relates to a transmitter having means for encoding (41), interleaving (41) and modulating (48) the transmit signal. The transmitter of the invention comprises means (42) for combining known reference symbols with the transmit signal and means (45) for controlling the combination. The invention further relates to a receiver having means for demodulating (51) and decoding (61) a received signal and means (51) for deinterleaving the signal. The receiver of the invention comprises means (52, 66) for removing the known reference symbols from the received signal and means (54) for controlling the removal of the reference symbols.

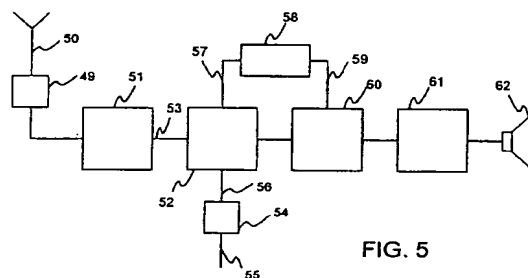


FIG. 5

EP 0 674 451 A2

The present invention relates to a data transmission in a cellular communications system comprising in each cell at least one base station communicating with mobile stations within its coverage area, in which method extra known reference information is added to a transmit signal.

In a cellular communications system, the quality and parameters of a radio channel may vary greatly as a function of time and location. When a mobile station is stationary, the radio channel is nearly invariant. When the station is on the move, the radio channel varies as a function of time on account of the mobility of the station and the multipath propagation of the radio signal. In such a constantly changing situation, it is difficult to achieve reliable data transmission. Channel coding, signal interleaving and coherent data transmission may be employed to improve transmission quality. In certain cases, transmission quality may also be improved by employing multilevel signals, such as a multilevel orthogonal or biorthogonal signal, in transmitting the data signal.

The prerequisite for applying coherent reception is a carrier phase estimate for the receive signal, and also an estimate of the radio channel if optimum performance is desired. Channel estimation may be carried out by transmitting either a separate pilot signal, known reference symbols, or a mere data signal.

The pilot signal method employs a separate continuous narrowband or wideband signal to generate a phase reference estimate. *EIA/TIA Interim Standard: Mobile Station - Base Station Compatibility Standard for Dual-Mode Wideband Spread Spectrum Cellular System*, TIA/EIA/IS-95, July 1993, specifies a method employing a separate pilot channel on which a predetermined data unmodulated signal is sent. Adding a separate pilot channel to the transmission takes up part of the available transmission capacity, renders the transmitter and receiver constructions complex, and may necessitate expansion of the requisite transmission bandwidth. Therefore, a separate pilot channel is unfeasible, particularly in the transmission direction from mobile to base (uplink). In the CDMA multiple access method, however, a pilot channel may have utility in the transmission direction from base to mobile (downlink).

Channel estimation by means of a data signal may be implemented either by means of decision feedback or without feedback. In these methods, channel estimation does not necessitate expanding the required transmission bandwidth. Estimation making use of a data signal is, however, poorly suited to the transmission path environment of cellular systems. When decision feedback is employed, the problem resides in the unreliability of the decisions applied. On the other hand, without feedback the required channel estimation circuit is difficult to implement, particularly when multilevel signals are employed.

Prior art methods based on the use of known reference symbols are attended by the drawback that they do not take account of the state of the radio channel. A prior art method for using reference symbols is described in Fuyun Ling, *Coherent Detection with Reference Symbol Based Channel Estimation for Direct Sequence CDMA Uplink Communication*, IEEE Vehicular Technology Conference 1993. This publication describes a method in which a mobile station transmits reference information at regular intervals to a base station. Thus the channel is always measured at a constant frequency by means of reference symbols fully independently of the rate of change of the channel. The symbol transmission rate, i.e. the number of symbols sent in a time unit, is dependent on how rapid measurement of the changing channel is desired and what amount of transmission capacity (power or bandwidth) is to be allocated for these known symbols. The object is to achieve a sufficient channel measurement accuracy while utilizing a minimum of transmission capacity. At a constant frequency, the solution is always a compromise between these two factors, and the result cannot be an ideal solution in conditions of varying channel measurement needs.

Another known method is disclosed in Finnish Patent Application 940148, in which a mobile station transmits known reference symbols with frame symbols corresponding to those employed by the base station in sending power adjustment commands to the mobile station. Also in this method, the amount of reference information is not dependent on the rate of change of the channel but on the amount of power adjustment information sent by the base station, and this is not directly proportional to the rate of change of the channel.

In accordance with a first aspect of the invention there is provided a data transmission method in a cellular communications system comprising in each cell at least one base station communicating with mobile stations within its coverage area, in which known reference information is added to a transmit signal, **characterized** in that the amount of added reference information per time unit is varied in response to the radio channel parameters.

In accordance with a second aspect of the invention there is provided a transmitter, having means for encoding, interleaving and modulating the transmit signal, **characterized** in that it comprises means for combining known reference symbols with the transmit signal and means for controlling the combination.

In accordance with a third aspect of the present invention there is provided a receiver, having means for demodulating and decoding a received signal and means for deinterleaving the signal, **characterized** in that it comprises means for removing the known reference symbols from the received signal and means for controlling the removal of the reference symbols.

It is thus an advantage of the present invention that a method enabling smooth channel estimation at the receiver is provided. The number of transmitted reference symbols in a time unit can be optimized to the channel state at a given time.

The reference symbols are symbols known to the receiver typically inserted into a sequence of data symbols. The reference symbols received are used to generate a channel estimate. There are no decision errors or phase ambiguities as the reference symbols are known so a more robust method of channel estimation is achieved.

The invention provides a way of sending reference information as required by coherent detection which is adaptable to the channel state at each given time. The method can be implemented in both transmission directions, but it is particularly suitable for use in the uplink direction in which methods making use of a continuous pilot signal have no utility. The coherent detection enabled permits operation of the receiver in the uplink direction with a considerably poorer signal-noise ratio than in non-coherent reception. This again affords a greater capacity per cell in CDMA systems.

Besides coherent detection, the invention can also be implemented in other aspects of synchronization and power measurement at a receiver. The channel estimate obtained by way of known reference symbols can be used for code and bit synchronization and as an aid in measuring the receive signal power.

Since in the invention only the required number of known reference symbols are transmitted, said number being adjusted at a given time in response to the channel quality, utilization of the transmission capacity of the channel can be effectively optimized. The channel can always be monitored with the desired accuracy, but on the other hand no superfluous transmission capacity is allocated when the channel quality is good.

In the following, the invention will be explained in greater detail with reference to the examples in accordance with the accompanying drawings, in which

Figures 1a-1c illustrate adding of reference symbols to a transmit signal,

Figures 2a-2c illustrate another embodiment of adding reference symbols to the transmit signal, Figures 3a-3d illustrate transmission of reference symbols under different channel quality conditions,

Figure 4 illustrates the construction of a transmitter of the invention,

Figure 5 illustrates the construction of a receiver of the invention,

Figure 6 illustrates an alternative construction for the receiver of the invention, and

Figure 7 illustrates the construction of a third embodiment of the receiver of the invention.

In accordance with the invention, the transmitter

adds reference symbols to the transmit signal in such a way that the number of symbols per time unit can be varied depending on the values of one or more channel parameters. The channel parameters may include received signal amplitude and phase, multipath delay, the amplitude and phase of components of the multipath and the received signal power level. The receiver is aware of the number of reference symbols sent at a given time and of their location in the signal. The channel is estimated on the basis of these reference symbols for coherent reception and other functions of the receiver.

Figures 1a-1c and 2a-2c illustrate two possible methods of adding reference symbols to the transmit signal. The addition can be realized either by inserting the reference symbols between the data symbols or by replacing some of the data symbols with reference symbols. The last-mentioned method is termed puncturation and is illustrated in Figures 1a-1c. Figure 1a shows an example of a part of the frame structure of the transmitted signal. Reference symbol R has been added in between data symbols 1-5 by replacing data symbol 3 with the reference symbol. Figure 1b shows an example of a received signal corrupted by the transmission path. In the receiver, the reference symbol is removed from the data signal and a zero symbol is inserted in its place. This is illustrated in Figure 1c.

Figure 2a illustrates a situation where reference symbol R has been added in between transmit data symbols 1-5. Figure 2b shows the corresponding received signal corrupted by the transmission path. In the receiver, the reference symbols are removed from among the data symbols, and thus in the exemplary case the received frame is as shown in Figure 2c. In contrast to puncturation, this method will increase the transmission bandwidth required by the transmit signal.

Figures 3a-3d illustrate the performance of the solution in accordance with the invention in different situations. Figure 3a shows the impulse response of a transmission channel with a slow rate of change. In such a case, the number of requisite reference symbols R per time unit is small, as is apparent from Figure 3b. The reference symbols may be sent by puncturation of data symbols. It is also possible to insert them between data symbols, as they do not appreciably expand the requisite bandwidth. When the transmission channel changes rapidly, the impulse response being in accordance with Figure 3c, the number of reference symbols R to be sent is increased in accordance with Figure 3d and new reference symbols are inserted in place of data symbols by puncturing. In such a case, the required transmission bandwidth no longer increases. The reference symbols may be added to the transmission one by one at given time intervals or in sets of several symbols.

The number of reference symbols per time unit

may be adjusted either steplessly or in predetermined steps. The latter alternative affords a simpler implementation.

The invention can also be used when the transmission channel has a slow rate of change, and reference symbols are sent in accordance with Finnish Patent Application 940148, in which a mobile station transmits known reference symbols with frame symbols corresponding to those employed by the base station in sending power adjustment commands to the mobile station. Thus both transmission directions employ an identical frame structure, and in those symbol positions in the frame in which the base station sends power adjustment commands to the mobile station, the mobile station sends predetermined reference symbols to the base station. Thus in the uplink direction the sending of reference symbols requires no bandwidth expansion. When the transmission channel changes rapidly, the number of reference symbols per time unit is increased and new reference symbols are inserted into the transmit signal by puncturing, as set out previously.

The invention can also be used with multilevel symbols, such as orthogonal or biorthogonal symbols, are employed in data signal transmission in a cellular network. The invention can also be used advantageously when the signal is encoded by a combination of a convolutional code and a biorthogonal multilevel symbol set, such as disclosed in Finnish Patent Application 935047. The advantages of both methods can be combined, in other words, the advantageous method of the present invention can be applied to realize the coherent detection required by the combined encoding referred to above, thus achieving a reliable transmission quality. The capacity gain achieved is considerable, specifically in the CDMA multiple access method.

When multilevel symbols are used in the data signal transmission, the reference symbols can be sent in the form of multilevel symbols identical to the data symbols. Such a system is described in copending Finnish application 941071. Multilevel reference symbols can be sent by adding them in between data symbols or by replacing a data symbol with a reference symbol.

The invention can be implemented in cellular networks irrespective of the multiple access method applied. The invention is most advantageous in cellular networks with continuous transmission, such as those applying FDMA and CDMA multiple access methods.

The invention can thus be implemented in both transmission directions, both from base to mobile and vice versa. In the following, a transmitter and a receiver of the invention will be described by way of illustration, the invention being implemented in the uplink direction in the context of a CDMA cellular network but not being limited thereto.

Figure 4 illustrates the construction of a transmitter of the invention, using a CDMA transmitter as an example. The transmitter comprises a microphone 40 and means 41 for encoding and interleaving the transmit signal. The encoded and interleaved bits 44 are applied to an input of a combination circuit 42. Known reference symbols are applied to a second input 43 of the combination circuit. The combination circuit 42 inserts the reference symbols into the transmit data, either between data symbols or in their place. Control means 45 provides control 47 for the combination circuit and determines by which method and at how short intervals reference symbols are to be inserted into the data. The operation of the control means 45 is based on the state of the transmission channel, and the impulse 46 to the control means may be provided by the measured Doppler frequency or a control command from the receiving end (e.g. base station), for example. The control may be based on monitoring the quality of the receive signal, which may be realized for instance by monitoring the bit error ratio or signal-noise ratio of the receive signal. In the case of a CDMA transmitter, the signal provided by the combination circuit is spread by a spreading code and modulated by means 48, and applied through radio-frequency parts 49 to an antenna 50. The transmitter naturally also comprises other components, such as converters and filters, which for clarity are not shown in the figure.

Figure 5 illustrates the construction of a receiver of the invention, using a CDMA receiver as an example. The receiver comprises an antenna 50, from which the signal is applied through radio-frequency parts 49 to a demodulation and despreading block 51. The signal is further applied to a separation circuit 52 in which known reference symbols 57 are separated from the remainder of the received signal 53 and applied to a channel estimation block 58. The control signal 56 for the separation circuit is provided by the control circuit 54, which needs information 55 on the combined control employed at the transmitting end. In a preferred embodiment of the invention, this information may be available at the receiver, for example if the receiving end (e.g. a base station) determines the mode of operation of the transmitting end. In another preferred embodiment of the invention, the transmitting end sends information on the operational mode to the receiver. The information may be transmitted on a signalling channel or along with the actual data signal. In accordance with a third preferred embodiment of the invention, the state of the transmission channel is measured by the receiver, and the control of the separation circuit is based on this measurement. In accordance with a fourth advantageous embodiment of the invention, the information on the combined control employed at the transmitting end is obtained by measuring the received signal.

The output 59 of the estimation circuit 58 pro-

vides an estimate of the transmission channel, which permits coherent detection of the data signal to be performed by means 60. Subsequent to deinterleaving and decoding 61, the detected signal is applied to a loudspeaker 62. The estimate 59 can also be employed for other purposes, such as synchronization or power measurement. The receiver naturally also comprises other components, such as converters and filters, which for clarity are not shown in the figure.

Figure 6 illustrates the construction of a receiver implementing a fourth embodiment of the invention. The receiver comprises means 63 for detecting the location and number of reference symbols in the received transmission, i.e. in the signal obtained from block 51. These means 63 provide a control signal 55 to means 54 controlling the removal of reference symbols.

Figure 7 illustrates the construction of a receiver implementing further embodiment. The receiver comprises means 64 for separating reference symbols from the received signal. The separation means are controlled by control circuit 54, as above. The channel is estimated in estimation block 58 by means of reference symbols as set out previously. The receiver comprises means 65 for detecting the received signal which includes the desired data and reference symbols. The detection may be coherent, in response to information provided by estimation block 58. The detected signal is applied to means 66 in which the reference symbols are removed from the detected signal. Control block 54 provides a control signal to means 66.

Even though the invention has been explained in the above with reference to examples in accordance with the accompanying drawings, the invention is not restricted to them but can be modified in a variety of ways within the scope of the inventive idea disclosed.

Claims

1. A data transmission method in a cellular communications system comprising in each cell at least one base station communicating with mobile stations within its coverage area, in which known reference information is added to a transmit signal, **characterized** in that the amount of added reference information per time unit is varied in response to the radio channel parameters.
2. A method as claimed in claim 1, **characterized** in that when quality of the radio channel is good a smaller amount of reference information is sent than when the quality of the radio channel is poor.
3. A method as claimed in claim 1 or 2, **characterized** in that the reference information is utilized in

a coherent receiver to generate a carrier phase reference.

4. A method as claimed in claim 1 or 2, **characterized** in that the reference information is utilized in a receiver for symbol synchronization.
5. A method as claimed in claim 1 or 2, **characterized** in that the reference information is utilized in a receiver for power measurement.
6. A method as claimed in any preceding claim, **characterized** in that in sending reference information, known reference symbols are inserted between user data symbols to be sent.
7. A method as claimed in any one of claims 1 to 5, **characterized** in that in sending reference information, a set of user data symbols to be sent is replaced with known reference symbols.
8. A method as claimed in any preceding claim, **characterized** in that when multilevel symbols are employed in the data signal transmission, the known reference information is sent in the form of similar symbols as the actual user data.
9. A method as claimed in any preceding claim, **characterized** in that the base station controls the transmit power of mobile stations located within its coverage area by way of power adjustment messages, and that in both transmission directions from base to mobile and from mobile to base the transmission on the traffic channel employs an identical frame structure, and in that when the base station sends to the mobile station a power adjustment message employing specific bit time slots in the frame structure of the traffic channel, the mobile station sends known reference information in the corresponding bit time slots of the other transmission direction of the traffic channel to the base station.
10. A method as claimed in any one of the preceding claims, **characterized** in that the control for the amount of added known reference information per time unit and the mode of addition at the transmitter is provided by the receiver.
11. A transmitter, having means for encoding (41), interleaving (41) and modulating (48) the transmit signal, **characterized** in that it comprises means (42) for combining known reference symbols with the transmit signal and means (45) for controlling the combination.
12. A transmitter as claimed in claim 11, **characterized** in that it comprises means (42) for replacing

a set of user data symbols to be sent with known reference symbols.

13. A transmitter as claimed in claim 11, **characterized** in that it comprises means (42) for inserting known reference symbols between user data symbols to be sent. 5
14. A receiver, having means for demodulating (51) and decoding (61) a received signal and means (51) for deinterleaving the signal, **characterized** in that it comprises means for removing (52, 66) the known reference symbols from the received signal and means (54) for controlling the removal of the reference symbols. 10 15
15. A receiver as claimed in claim 14, **characterized** in that it comprises means (58) for utilizing the known reference symbols in calculating an estimate for the radio channel impulse response. 20
16. A receiver as claimed in claim 14, **characterized** in that it comprises means (58) for utilizing the known symbols in calculating a receive carrier phase estimate. 25
17. A receiver as claimed in claim 14, **characterized** in that it comprises means (58) for utilizing the known reference symbols in power measurement of the received signal. 30
18. A receiver as claimed in claim 14, **characterized** in that it comprises means (58) for utilizing the known reference symbols in symbol synchronization. 35
19. A receiver as claimed in any one of claims 14-18, **characterized** in that it comprises means (63) for detecting the location and number of reference symbols in the received signal, said means providing a control signal (55) to means (54). 40
20. A receiver as claimed in any one of claims 14-18, **characterized** in that it comprises means (64) for separating the reference symbols from the received signal, means (65) for detecting the received signal which includes the desired data and reference symbols, and means (66) for removing the reference symbols from the detected signal. 45 50

55

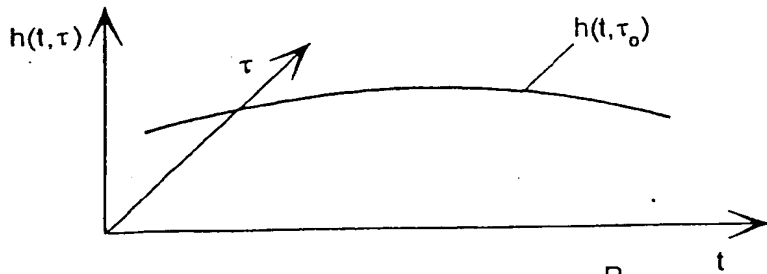


FIG. 3a

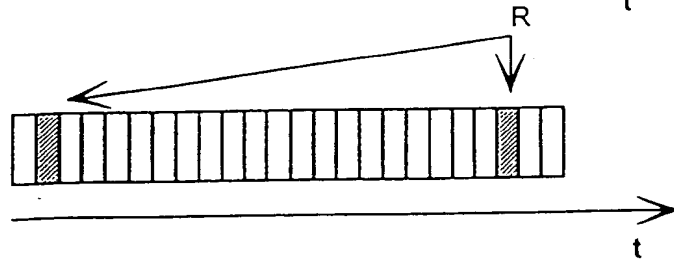


FIG. 3b

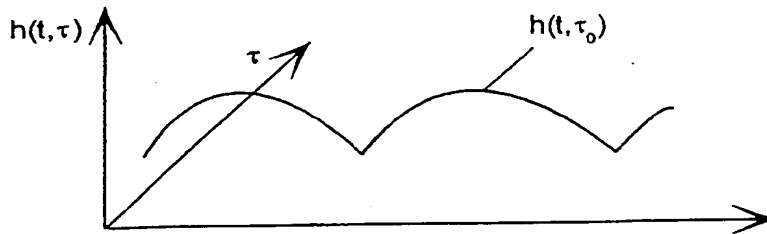


FIG. 3c

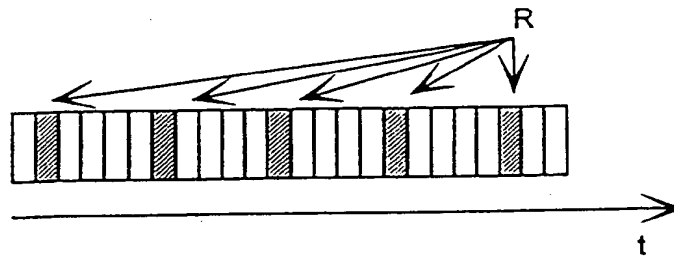


FIG. 3d



FIG. 1a



FIG. 1b

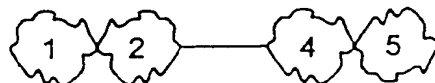


FIG. 1c

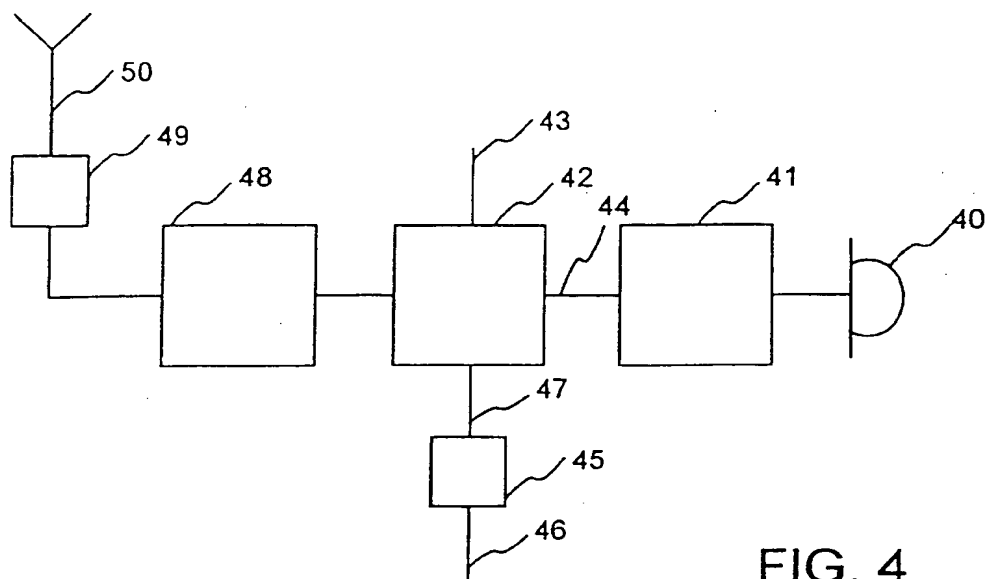


FIG. 4

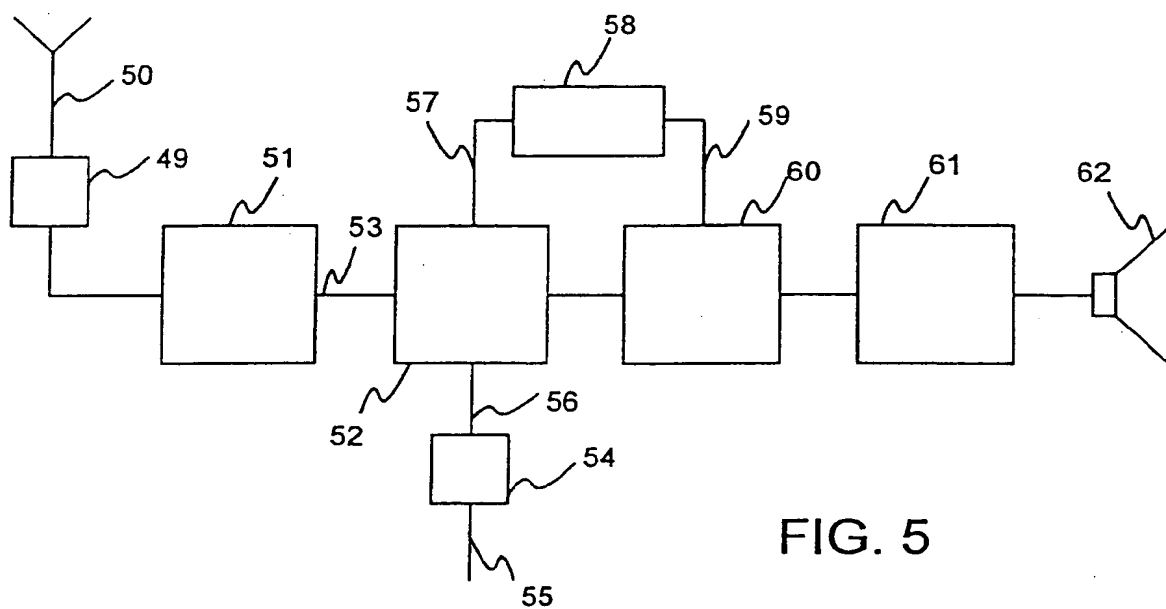


FIG. 5

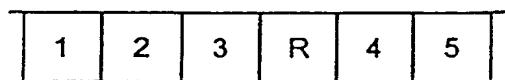


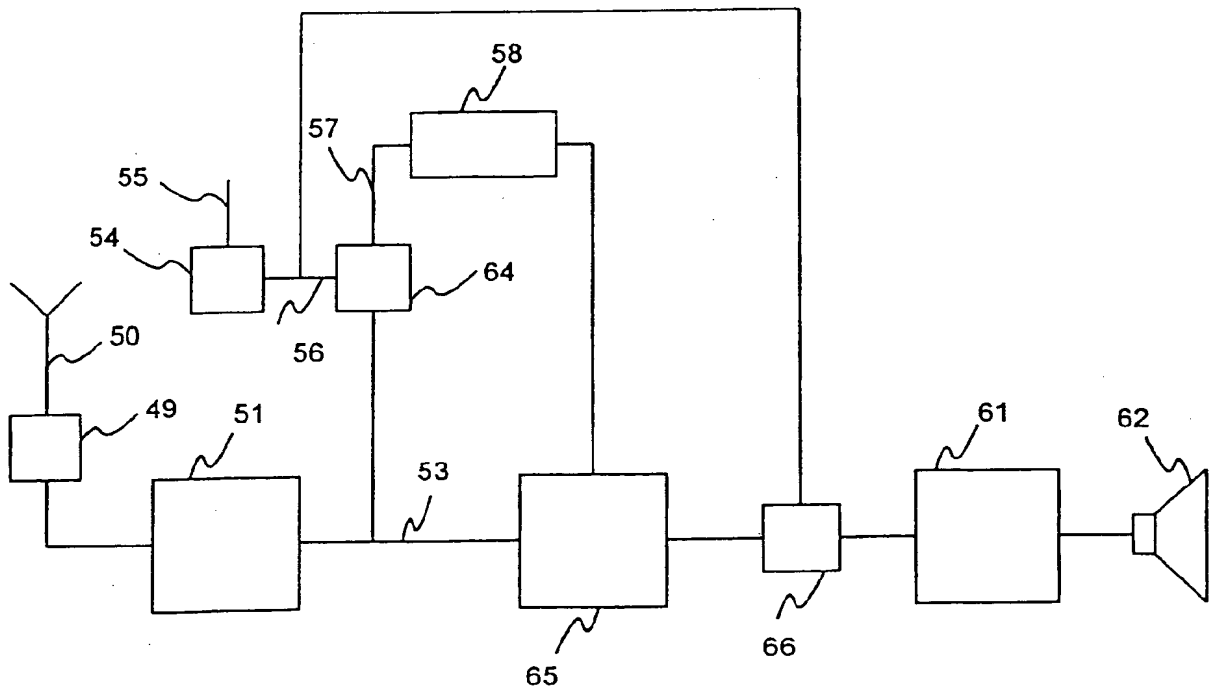
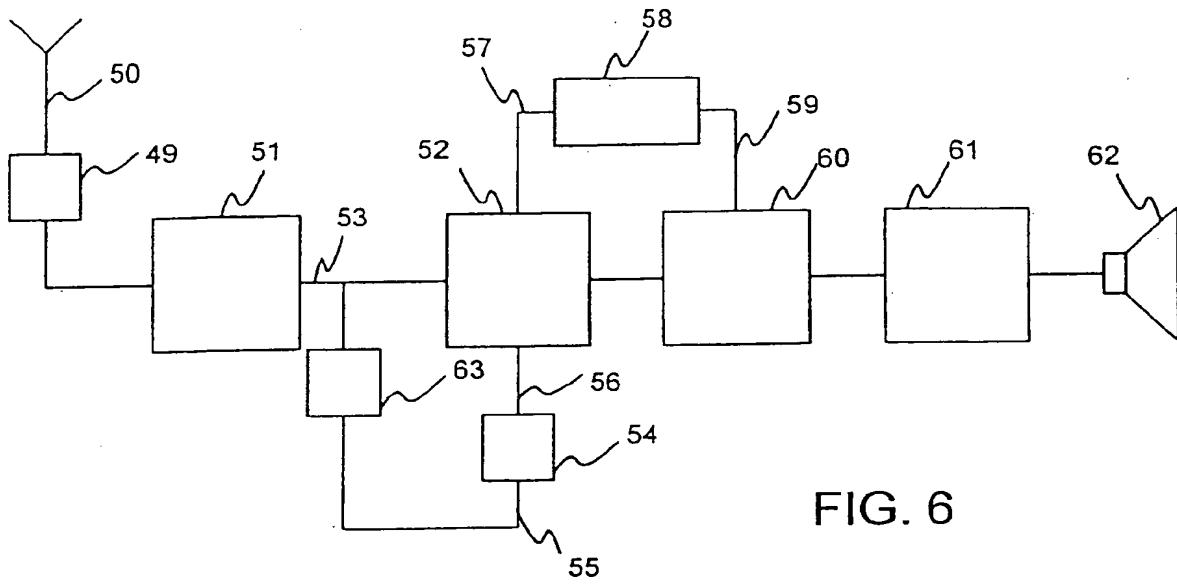
FIG. 2a

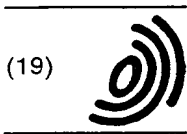


FIG. 2b



FIG. 2c





Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) EP 0 674 451 A3

(12) EUROPEAN PATENT APPLICATION

(88) Date of publication A3:
03.01.1996 Bulletin 1996/01

(51) Int Cl.⁶: H04Q 7/22, H04B 7/26

(43) Date of publication A2:
27.09.1995 Bulletin 1995/39

(21) Application number: 95301371.1

(22) Date of filing: 03.03.1995

(84) Designated Contracting States:
AT DE FR GB IT NL SE

(72) Inventor: Rikkinen, Kari
SF-90650 Oulu (FI)

(30) Priority: 07.03.1994 FI 941072

(74) Representative: Haws, Helen Louise et al
Camberley, Surrey GU15 3QZ (GB)

(71) Applicant: NOKIA MOBILE PHONES LTD.
SF-24101 Salo (FI)

(54) A data transmission method, transmitter, and receiver

(57) The invention relates to a data transmission method in a cellular communications system comprising in each cell at least one base station communicating with mobile stations within its coverage area, in which method extra known reference information is added to a transmit signal. To enable smooth estimation of a channel, the amount of added reference information per time unit is varied in response to the radio channel parameters. The invention also relates to a transmitter having means for encoding (41), interleaving (41) and modulating (48) the transmit signal. The transmitter of the invention comprises means (42) for combining known reference symbols with the transmit signal and means (45) for controlling the combination. The invention further relates to a receiver having means for demodulating (51) and decoding (61) a received signal and means (51) for deinterleaving the signal. The receiver of the invention comprises means (52, 66) for removing the known reference symbols from the received signal and means (54) for controlling the removal of the reference symbols.

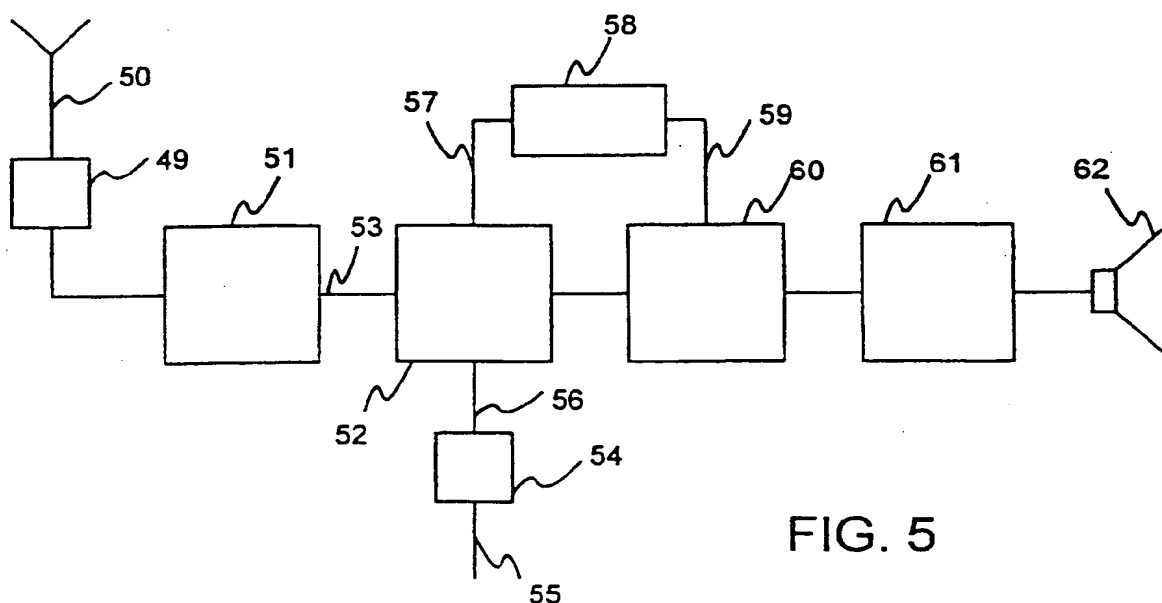


FIG. 5

EP 0 674 451 A3



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number

DOCUMENTS CONSIDERED TO BE RELEVANT			EP 95301371.1
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int. Cl. 6)
A	<u>EP - A - 0 241 954</u> (PHILIPS) * Description * --	1, 9, 11, 14	H 04 Q 7/22 H 04 B 7/26
A	<u>WO - A - 92/12 602</u> (BRITISH TELECOMMUNICATIONS PUBLIC LTD.) * Totality * --	1, 9, 11, 14	
A	<u>EP - A - 0 333 679</u> (TELEFONAKTIEBOLAGET L M ERICSSON) * Claims * --	1, 9	
A	<u>EP - A - 0 566 551</u> (TELEFONAKTIEBOLAGET L M ERICSSON) * Description * ----	1, 9	
			TECHNICAL FIELDS SEARCHED (Int. Cl. 6) H 04 Q 7/00 H 04 B 7/00
The present search report has been drawn up for all claims			
Place of search VIENNA		Date of completion of the search 16-10-1995	Examiner ZUGAREK
CATEGORY OF CITED DOCUMENTS X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document			

EP 0 FORM 1503 (01.82) (P.001)

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ BLACK BORDERS
- ☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- ☒ FADED TEXT OR DRAWING
- ☒ BLURRED OR ILLEGIBLE TEXT OR DRAWING
- ☐ SKEWED/SLANTED IMAGES
- ☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
- ☐ GRAY SCALE DOCUMENTS
- ☒ LINES OR MARKS ON ORIGINAL DOCUMENT
- ☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- ☐ OTHER: _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.